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Abstract

#2016

Background: SVN53-67/M57-KLH (SurVaxM) is a novel cancer vaccine designed to stimulate an immune response targeting the tumor-specific antigen survivin. A multi-center, single-arm phase 2 clinical trial of SurVaxM in survivin-positive newly diagnosed glioblastoma (nGBM, NCT02455557) is now fully enrolled and data updated.

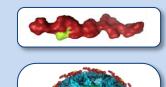
Patients (n = 63) with nGBM were enrolled at 5 US cancer centers and followed for safety, 6-month progression-12-month overall survival (OS12) and immunologic response. All patients underwent craniotomy with near < 1 cm3 residual contrast enhancement), TMZ chemoradiation, adjuvant TMZ and SurVaxM. Patients received 4 urVaxM (500 mcg) in Montanide with sargramostim (100 mcg) biweekly, followed by maintenance SurVaxM with adjuvants every 12 weeks until tumor progression. Immunogenicity of SurVaxM was assessed by detection of survivin-specific antibody (IgG) and CD8+ T-cell levels.

Median age was 60 yrs (range, 20-82), 53% methylated MGMT, 46% unmethylated MGMT (1 N/A) and 60% were ssion ranged from 1-40% (median 12%) by immunohistochemistry. Median time to first imm 3.0 mo (1.9-4.0 mo) from diagnosis. There have been no RLT or grade \geq 3 SAE attributable to SurVaxM. The most common AE injection site reactions, OS12 was 86.3% from first immunization and 93.5% from diagnosis, OS12 for meMGMT MGMT was 78.2% from first immunization. Median time to tumor progression (mPFS) was 15.5 months from diagnosis. Median OS is 30.5 months from diagnosis at present follow-up. SurVaxM produced an increase in survivin-specific IgG titre from pre-vaccine baseline to \geq 1:10,000 in 67% of pts and \geq 1:100,000 in 27%. CD8+ T cell responses were observed. Anti-survivin IgG and OS were correlated

Conclusions: SurVaxM immunotherapy generated encouraging efficacy and immunogenicity in nGBM and has minimal toxicity. A randomized, prospective trial of SurVaxM in nGBM is planned.

SurVaxM





CD4 T cells

surface survivin

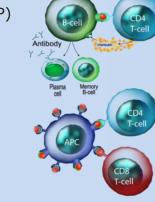
isoforms & localizations

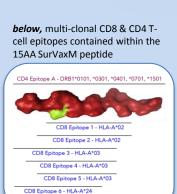
synthetic long peptide (SLP) High-Density Peptid

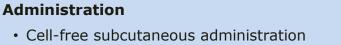
15 amino acid novel,

Delivery conjugated to

Keyhole Limpet lemocyanin (KLH)







 Circumvents immune tolerance through activation of mid-affinity multi-clonal CD8 &

Multi-valent targeting of survivin in several

Stimulates unique IgG recognition of cell

- 500µg SurVaxM in Montanide ISA 51 VG + 100µg GM-CSF,
- 1st dose after resection & chemoradiation, before adjuvant temozolomide
- 4 Biweekly doses; followed by every 12 week maintenance dosing

Trial Design

Primary Objective:

• To evaluate 6-month progression-free survival (PFS6) in patients • Age \geq 18years of age. with survivin positive newly diagnosed glioblastoma (nGBM) treated with SurVaxM and standard of care temozolomide.

Rationale for newly diagnosed glioblastoma:

- Low immunosuppression post-tumor resection
- Window of opportunity (1 mo.) prior to adjuvant chemotherapy
- Over 90% of glioblastomas are survivin-positive

Trial Design:

- Single arm SurVaxM[®] (prime-boost) + adjuvant temozolomide
- Multi-center trial performed at Roswell Park, Cleveland Clinic, Dana-Farber, Mass General & Beth Israel Deaconess Hospital

ROSWELL PARK DANA-FARBER AND Beth Israel Deaconese

Cleveland Clinic MASSACHUSETTS GENERAL HOSPITAI

Eligibility Criteria:

- Karnofsky performance status \geq 70
- Survivin-positive tumor status.
- Pathologically confirmed diagnosis of glioblastoma.
- HLA-A*02, HLA-A*03, HLA-A*11 or HLA-A*24 (+) patients.

Normal Ovary Normal Spleen Normal Tonsil

above. Immunohistochemistry of survivin

expressing tumors and normal control tiss

- No evidence of progressive disease.
- MRI documenting 1 cm³ or less tumor volume post resection
- Dexamethasone dose less than or equal to 4 mg daily.
- Completed radiation therapy (RT) with initial temozolomide (SOC)
- Participant or legal representative must understand the investigational nature of this study, signed Independent Ethics Committee/IRB approved informed consent.

Status:

Fully enrolled (63 patients), no longer recruiting

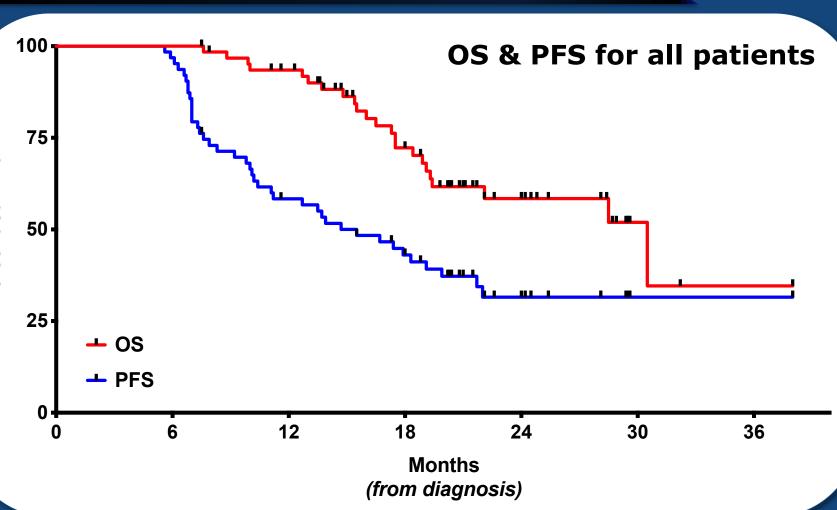
Normal Colon Normal Kidney

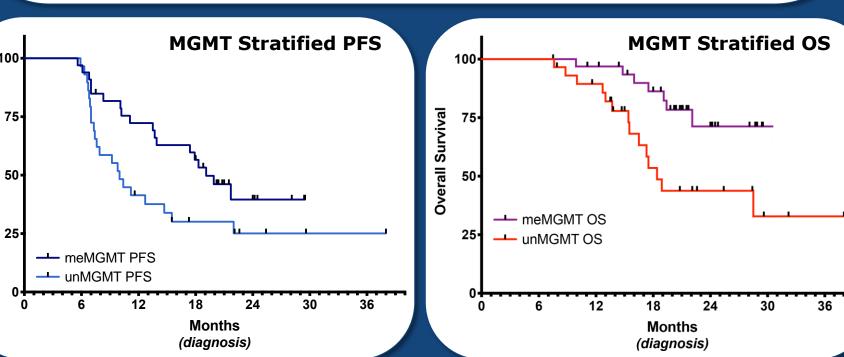
SurVaxM with standard therapy in newly diagnosed glioblastoma: Phase II trial update.

Manmeet S. Ahluwalia¹, David A. Reardon², Ajay P Abad⁶, William T. Curry³, Eric T. Wong⁴, Ahmed Belal⁶, Jingxin Qiu⁶, Kathleen Mogensen^{5,6}, Cathy Schilero¹, Alan Hutson⁶, Danielle Casucci⁶, Laszlo Mechtler^{5,6}, Erik J Uhlmann⁴, Michael J Ciesielski^{6,7}, Robert Fenstermaker^{6,7}

¹Burkhardt Brain Tumor NeuroOncology Center, Neurological Institute, Taussig Center Institute, Cleveland Clinic, Cleveland, OH; ²Dana-Farber Cancer Institute and Harvard Medical School, Boston, MA; ³Massachusetts General Hospital, Boston, MA; ⁴Beth Israel Deaconess Medical Center, Boston, MA; ⁵Dent Neurologic Institute, Buffalo, NY; ⁶Roswell Park Comprehensive Cancer Center, Buffalo, NY; ⁷MimiVax, LLC, Buffalo, NY; ⁶Roswell Park Comprehensive Cancer Center, Buffalo, NY; ⁷MimiVax, LLC, Buffalo, NY; ⁴Beth Israel Deaconess Medical Center, Buffalo, NY;

Survival – OS & PFS





Patient Characteristics & Safety

Patient	Characteri	istics							
Age	Sex	IDH1	MGMT	Temozolo meMGMT	mide use unMGMT	KPS	Survivin	Time to 1 ^{rst} immunization	mAb Titer
Range 20 - 82	Male 38 (60%)	IDH1 53 (84%)	Methylated 33 (52%)	Range 5-21 mos.	Range 4-18 mos.	Range 70-100	Range 1-40%	Range 2.1-4.0 mos.	Range 1,800 to >100,000
Mean 56.5	Female 25 (40%)	IDH1-R32h 8 (13%)	Unmethylated 29 (46%)	Mean 11 mos.	Mean 8 mos.	Mean 86.3	Mean 13%	Mean 3.0 mos.	Mean 53,437
Median 60		unknown 2	unknown 1	Median 9 mos.	Median 7 mos.	Median 90	Media n 12%	Median 3.0 mos.	Median 51,650

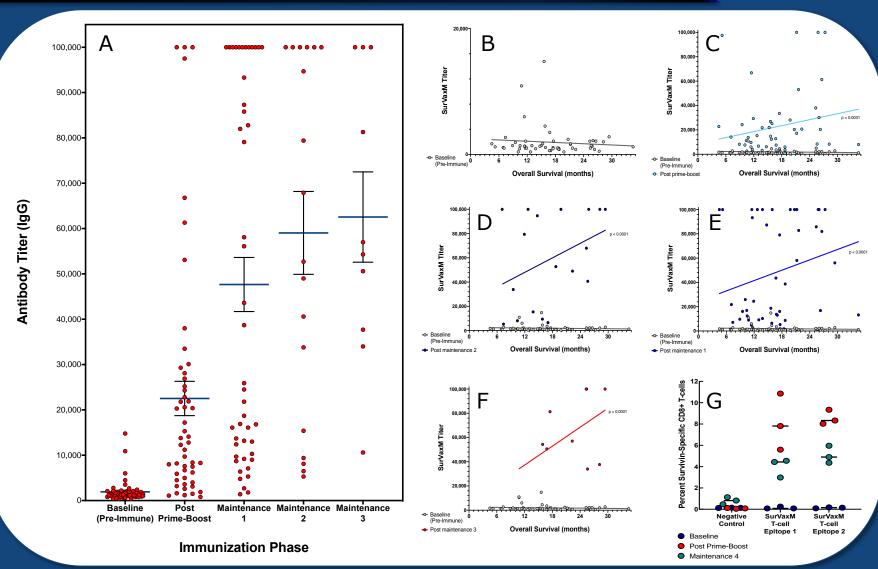
ety Data							
ERSE EVENT & Grade	1	2	3	ADVERSE EVENT & Grade	1	2	3
ecia	1			Injection site swelling	2		
esia	12			Lymphocyte count decreased	2	3	1
algia	3			Malaise	2	1	
enia		1		Myalgia	4		
pain	1			Nausea	1		
5	1			Neutrophil count decreased	2		
usional state			1	Paraesthesia	3		
eased appetite	1			Platelet count decreased	2		
ue	12	1		Pruritus	2	1	
erhidrosis	1			Pyrexia	5		
ertension aggravated		1		Rash	2	1	1
enza like illness	7			Rash maculo-papular			1
tion site haematoma	5			Skin hypertrophy	1		
tion site induration	5			Subcutaneous nodule	3		
tion site pain	12			Transaminases increased		1	
tion site pruritus	2			Urticaria	1		
tion site reaction	37	2		White blood cell ct. decreased	4		

• There have been no RLT or grade \geq 3 SAE attributable to SurVaxM. • The most common AE was grade 1-2 injection site reactions

measured from OS12 Diagnosis 93.5% SurVaxM meMGMT 96.9% unMGMT 89.4% median follow-up measured from OS12 first immunization SurVaxM 86.3% meMGM 93.4% unMGMT 78.2% median follow-up

measured from Diagnosis	mOS	mPFS	PFS6	95% CI	PFS12	95% CI
SurVaxM	30.5 mos.	15.5 mos.	96.8%	(-9, 2)	58.4%	(-13, 11)
meMGMT	30.5 mos.	19.1 mos.	97.0%	(-17, 3)	72.3%	(-19, 12)
unMGMT	18.4 mos.	10.0 mos.	96.6%	(-19, 3)	41.4%	(-18, 17)
median follow-up	21.7 mos.	22.6 mos.	at risk =	- 62	at risk =	36
measured from	-					
first immunization	mOS	mPFS	PFS6	95% CI	PFS12	95% CI
first immunization SurVaxM	mOS 26.0 mos.	mPFS 12.1 mos.	PFS6 71.3%	95% CI (-13, 10)	PFS12 50.0%	95% CI (-13, 12)
SurVaxM	26.0 mos.	12.1 mos.	71.3%	(-13, 10)	50.0%	(-13, 12)

Immunomonitoring

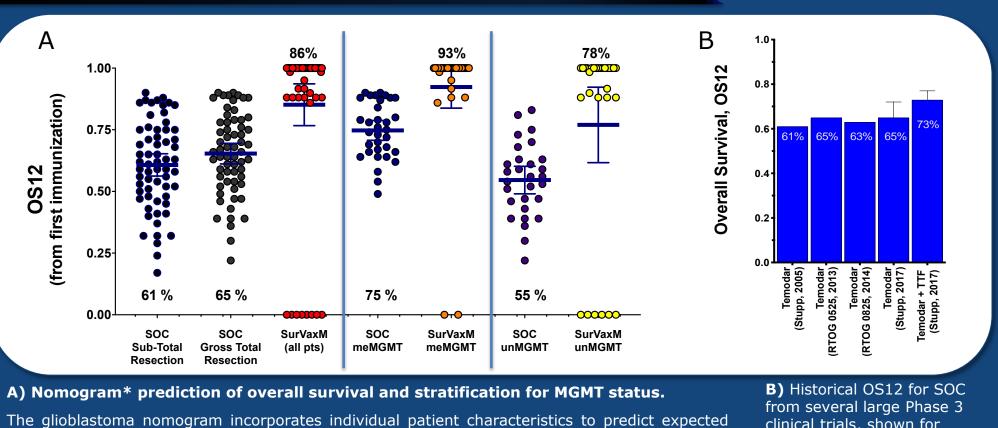


A) Antibody titers of patients receiving SurVaxM. B-F) Patient titers vs overall G) CD8+ T cell SurVaxM produced an increase in survivinsurvival. Increasing anti-SurVaxM antibody levels was observed and continue specific IgG titre from pre-vaccine baseline to \geq 1:10,000 in 67% of pts and $\geq 1:100,000$ in 27%. observed to be correlated with better survival

	95% CI	OS18	95% CI	OS24	95% CI
	(-10, 4)	72.2%	(-14, 10)	58.4%	(-15, 13)
	(-17, 3)	86.2%	(-19, 8)	71.3%	(-24, 15)
	(-19, 7)	53.5%	(-22, 18)	43.8%	(-21, 19)
sk = 56		at risk = 36		at risk = 16	
	95% CI	OS18	95% CI	OS24	95% Cl
	95% CI (-12, 7)	OS18 61.6%	95% CI (-15, 12)	OS24 58.2%	95% CI (-16, 13)
	(-12, 7)	61.6%	(-15, 12)	58.2%	(-16, 13)
sk = 44	(-12, 7) (-17, 5) (-21, 11)	61.6% 78.4%	(-15, 12) (-20, 11) (-21, 19)	58.2% 71.3%	(-16, 13) (-24, 15)

responses were to be assessed.

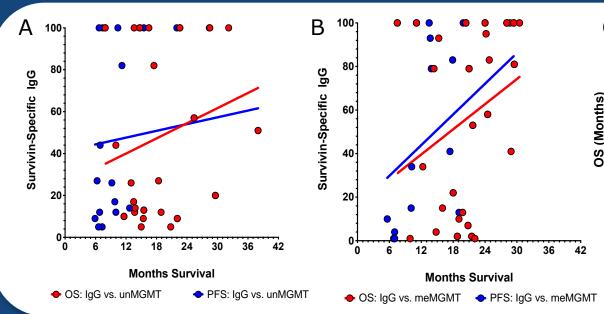
Historical Comparators



"standard of care" (SOC) response

- Here, OS is measured from first immunization to better align with historical randomized studies as a comparator
- SOC 012 prediction is based upon age, sex, KPS, gross total resection (GTR) & MGMT status.
- The predicted SOC OS12 is compared to the actual SurVaxM OS12 of each patient.

Immune Response Correlations



A) Correlation of anti-SurVaxM IgG and OS in unMGMT pts; B) Correlation of anti-SurVaxM IgG and OS in meMGMT pts; • Survivin is known to be a poor prognostic marker for patients with glioblastoma.

- SurVaxM immunization appears to alter this association. Anti-survivin IgG and OS were correlated.

C) Comparison of different patient HLA allele status vs. Overall Survival (OS) • SurVaxM is expected to not be HLA restricted

Conclusions

SurVaxM immunotherapy generated encouraging efficacy and immunogenicity in nGBM with minimal toxicity. A randomized, prospective trial of SurVaxM in nGBM is planned.

- Median PFS of 15.5 mos. from diagnosis (12.1 mos. from first immunization)
- PFS6 of 97% from diagnosis
- OS12 of 94% from diagnosis

Acknowledgements

This phase II study has been supported in part by donations to Roswell Park, The Hubbell Family, Buffalo Goes Gray, The Linda Scime Endowment, NIH P30 CA016056, The American Cancer Society & MimiVax, LLC.

MimiVax, LLC holds the worldwide license for development of SurVaxM. MSA, MJC & RAF are equity shareholders of MimiVax, LLC.

clinical trials, shown for reference

*Neuro-Oncology 2017, 19:669-677

A*11 A*24 A*other

A*02 A*03

(71% from first immunization)

(86% from first immunization)

